



2016  
**ANNUAL REPORT**



# MISSION

**THE NATIONAL COMMITTEE ON UNITED STATES-CHINA RELATIONS** is a nonprofit educational organization that encourages understanding of China and the United States among citizens of both countries. The Committee focuses its exchange, educational and policy activities on politics and security, governance and civil society, economic cooperation, media, and transnational issues, addressing these with respect to mainland China, Hong Kong, and Taiwan. The Committee's programs draw strength from its members, who number more than 900 Americans from all parts of the country, and 70 corporations and professional firms. They represent many viewpoints, but share the belief that productive U.S.-China relations require ongoing public education, face-to-face contact, and the forthright exchange of ideas.



**front cover photos:**

(top) National Committee leadership brief President Lyndon Johnson on China: (from left to right) Cecil Thomas, Lucian Pye, Alexander Eckstein, Edwin Reishauer, President Johnson, Robert Scalapino, Carl Stover, Doak Barnett, and Presidential Advisor Ted Sorenson, 1968  
(bottom) Chinese Premier Li Keqiang is toasted by NCUSCR Director Barbara Franklin and Chair Carla Hills at a welcome dinner in New York, 2016

# LETTER FROM THE CHAIR & PRESIDENT



**IN 2016**, we marked the fiftieth anniversary of the founding of the National Committee on U.S.-China Relations. Reflecting on our storied history reveals how far the bilateral

relationship has come since our founders helped reopen the debate on China policy in the 1960s. Today, millions of people travel between the United States and China each year for study, tourism, and business; congressional delegations, military-to-military exchanges, Track II dialogues, and other National

Committee historic firsts are now routine; and annual bilateral investment flows have reached \$60 billion. These realities are a testament to the strength of our founders' vision. Four and a half decades of Sino-American engagement, aided by the National Committee, have contributed directly to a more peaceful and prosperous world.

From the historic U.S. tour of the Chinese ping-pong team in 1972 to President Xi Jinping's visit to Seattle last year, the National Committee has been on the front lines of the ever-evolving U.S.-China relationship for half a century, continually laying the groundwork for the future. Over the past 50 years, we have responded to immediate crises and systemic shifts by providing a platform for frank and constructive dialogue, and by creating relevant, high-impact programs that address the changing nature of the bilateral relationship.

Recent developments in the United States and China have ushered in a new era of global uncertainty. This underscores the urgency of our mission and the fact that stable and productive relations between the world's two greatest economic and military powers are more important than ever before. The National Committee is responding to current and future challenges by establishing innovative new programs and initiatives that tackle issues as diverse as healthcare and cross-border investment, while at the same time bolstering existing programs that have proven to build trust and cooperation between Americans and Chinese. As leaders and citizens in our two countries look for new avenues of engagement, we are both obligated and uniquely positioned to play a leading role in facilitating this process.

The year covered by this report, 2016, has been even busier than usual: while continuing to hold most of our annual programs, we also rolled out a variety of special 50<sup>th</sup> anniversary events. These included the *Leaders Speak* series, which featured former cabinet officers and national security advisors; our *China & the World* lectures focusing on China's growing global presence and the impact it has had on specific regions around the world; and a reunion reconnecting the National Committee with former staff and program participants.

The National Committee is grateful to its members and partners, as well as those who have contributed to our Now More Than Ever 50<sup>th</sup> Anniversary Campaign. Your support enables us to continue to strengthen the Sino-American relationship, the success of which will determine whether we live in peace and security in the 21<sup>st</sup> century.

**CARLA A. HILLS**  
Chair

**STEPHEN A. ORLINS**  
President



# Celebrating 50 Years

## Selected Highlights

### 1960s

**THE NATIONAL COMMITTEE WAS FOUNDED IN JUNE 1966** with four basic governing principles, all of which have continued relevance today: education, not advocacy; representation of diverse views, but avoidance of extremism; a membership that represents all facets of American society; and a commitment to reach out to the general public, opinion makers, and government officials. The founders believed it was important to provide space for deliberation on policies toward China that were in the best long-term interest of the United States. They also believed that dialogue with the Chinese would enhance mutual understanding, a basic requirement for stable and productive relations. Over the past five decades, the Committee has continued to follow these precepts while creating programs in response to shifting needs and opportunities.



*The National Committee's first executive director, Cecil Thomas (second from left), with founding board members Carl Stover, Robert Scalapino, and Doak Barnett, 1966*



*The National Committee's first national convocation, 1969*

The National Committee's earliest efforts focused on increasing public understanding of China and stimulating informed discussion about U.S.-China relations. From 1966 to 1972, the Committee convened over 180 meetings and seminars throughout the United States. As popular interest in China increased, the Committee undertook a growing range of education-related activities, including a radio series, journalist roundtables, community outreach initiatives, and organizing field staffs at ten major universities around the country.

### 1970s



*The first delegation from the People's Republic of China to set foot on American soil — the Chinese Table Tennis Delegation — arrives in April 1972*

The National Committee's successful hosting of the Chinese Table Tennis Team led to a succession of exchanges in the athletic and cultural arenas. These were large-scale, high-profile public events that provided opportunities for Americans and Chinese to reconsider the assumptions they had formed about each other during prior decades, when contact was exceedingly limited, and helped them to look at each other in a new light.



*Members of the 1978 Chinese Municipal Administrators Delegation, the first group of Chinese mayors to visit the United States, inspect a Baltimore Region Rapid Transit System project*

As other platforms for performing arts and sports exchanges became available, the National Committee began to concentrate on bringing together groups of professional colleagues in more substantive areas, such as international relations, education, governance, media, and urban planning.



*NCUSCR President Art Rosen, George H.W. Bush (then-chief of the U.S. Liaison Office in Beijing), and delegation leader Cyrus Vance (vice chairman of the Council on Foreign Relations), meet with Vice Premier Deng Xiaoping at the Great Hall of the People during the 1975 World Affairs Delegation visit*



*National Security Advisor Henry Kissinger greets ten-year old Jet Li, a member of the 1974 Wushu Team, with National Committee staffer (now vice president) Jan Berris and interpreter (now UC-Berkeley professor of sociology) Tom Gold looking on*



# 1980s

In the 1980s, the National Committee put its energies into organizing and conducting exchanges. Running the gamut from senior government officials to theater directors, these exchanges usually provided the first opportunity for American and Chinese specialists in a wide variety of fields to meet and share information.



*Iowa Governor Robert Ray exchanging experiences with Guangdong Governor Xi Zhongxun, father of future President Xi Jinping (aided by interpreter Xu Liugen), during the Provincial Leaders Delegation visit, 1980*



*The Children's Education TV Delegation from China meets with Fred Rogers on the set of "Mister Rogers' Neighborhood," 1983*

Education has also been a long-running focus of the National Committee's work. American educators comprised the first specialist delegation the Committee sent to China (August 1973). One-third of the approximately 600 exchanges the organization has run since 1972 have been for education professionals.



*Carnegie Corporation of New York's executive vice president, David Robinson, puts his best foot forward during the 1981 Education Foundation Executives Delegation study tour*



*Acting NCUSCR President Douglas Murray and former staff member Peggy Blumenthal chat with Cui Tiankai in 1999, years after he participated in the Committee's Scholar Orientation Program and years before he became Chinese ambassador to the United States*

The Committee launched the Scholar Orientation Program just as Chinese graduate students and scholars started coming to the United States, providing exposure to American history, culture, and society through site visits, homestays, and customized briefings. During the program's 22-year run, over 1,000 Chinese participated; its success served as a model for the Committee's Diplomat Orientation Program, Policy Leaders Orientation Program, and U.S. Foreign Policy Colloquium.

# 1990s

The National Committee organized the first post-Tiananmen visit of a high-level Chinese delegation to the United States: a Mayors Delegation, led by Shanghai Mayor Zhu Rongji, provided an opportunity for frank and forthright exchange at a difficult moment in the Sino-American relationship. The delegation was part of a series of over thirty exchanges focused on municipal, state, provincial and regional governance and planning; these began in 1978 with the first group of mayors to visit the United States (photo on page 3), and continue today.



*Shanghai Mayor (and future premier) Zhu Rongji presents a gift to New York City Mayor David Dinkins while leading a delegation of mayors from six of China's largest cities, 1990*

The first instance of Track II diplomacy in the Sino-American relationship was the National Committee's U.S.-China Distinguished Citizens Dialogue, begun in 1984, the brainchild of long-serving board and executive committee member Michel Oksenberg. Over the course of 27 years, this dialogue brought together policymakers, members of the economic and academic communities, and former government officials for discussions held alternately in the United States and China.



*NCUSCR President David Lampton, former Chair Raymond Shafer, Chair Barber Conable, Jr., and Vice Foreign Minister Liu Huaqiu during the sixth round of the U.S.-China Distinguished Citizens Dialogue, 1993*



*American, Chinese, and Russian steering committee members share a celebratory dinner, Ussuri Watershed Land Use Planning Project, Brighton Beach, Brooklyn, 1994*

In 1993, the National Committee undertook a three-year project involving field work and personnel exchanges in the Ussuri River watershed along the China-Russia border. The effort brought together American, Chinese, and Russian natural and social scientists, along with public policy specialists, to examine land use patterns and propose viable alternatives for environmentally sustainable development in that contentious region. It was one of several projects the Committee has run that focus on regional cooperation, including activities that have brought together participants from the PRC, Taiwan, and Hong Kong.

The National Committee runs a vibrant rule of law program that began in 1980. Its focus has changed over the years and has included legislative drafting, judicial reform (including two visits to China by Supreme Court Associate Judge Anthony Kennedy and a return visit by Minister of Justice Xiao Yang), legal aid, labor law, environmental law, and, most recently, the nexus between the rule of law and human rights, maritime legal issues, and the new International NGO Management Law.



*Supreme Court Justice Anthony Kennedy hosts Judge Li Guoguang and other members of the Shanghai Municipal Judges Delegation to the United States, 1995*



# 2000s

The National Committee ushered in the 21<sup>st</sup> century with new programming that invests in U.S. and Chinese next-generation leaders. By 2005, the Committee had launched several programs that have become its flagship projects: the Young Leaders Forum, U.S. Foreign Policy Colloquium, Student Leaders Exchange, and Public Intellectuals Program—all of which were designed to engage future leaders of both countries, enhance their dialogue with each other, and build lasting ties among them.



*Student Leaders Exchange participants jump for joy at the Temple of Heaven in Beijing, 2011*

The first time that Deputy Secretary of State Robert Zoellick publicly urged China to become a “responsible stakeholder” was during a keynote speech at the National Committee’s 2005 Gala Dinner.



*Congressman Rick Larsen (D-WA) presents a gift to National People's Congress Chairman Wu Bangguo at the Great Hall of the People, 2007 Congressional Members Delegation*

The National Committee’s involvement in congressional exchanges dates back to 1976, when it sent the first congressional staff delegation to China; however, it was not until thirty years later that such programs became a regular occurrence. In 2006, the newly-formed bipartisan congressional U.S.-China Working Group asked the Committee to run its trips to the PRC; since then the organization has taken six groups of congressmen and 23 groups of staff members to China.



*NASA Astronaut Mark Kelly, NCUSCR President John Holden, and Peking University Professor Fu Jun at the third Young Leaders Forum in Arizona, 2004*



*David Rothkopf, CEO and editor of The FP Group, speaks with participants at the 2013 U.S. Foreign Policy Colloquium in Washington, D.C.*



*Deputy Secretary of State Robert Zoellick speaks at the National Committee's 2005 Gala Dinner*

# 2010s

In the last decade, Track II dialogues have become an increasingly important component of the National Committee’s work, expanding to the areas of economic relations, energy, maritime issues and international law, human rights and the rule of law, and security.



*Yale China Law Center's Jamie Horsley makes a point at the inaugural session of the Sino-American Dialogue on the Rule of Law & Human Rights, 2009*

Believing it important that the Chinese and American militaries remain in contact despite the break in relations following Tiananmen, the National Committee sent delegations of retired four-star generals and admirals to China, Hong Kong, and Taiwan in 1994 and in 1996, both led by former secretaries of defense. The success of these delegations inspired the U.S.-China Strategic Security Dialogue in 1999 (led by former Secretary of Defense William Perry for nearly two decades), as well as briefings for mid-career and senior officers in various branches of the U.S. armed services, beginning in 2007 and continuing until today. The latter led to the Committee’s unique program that sends active-duty military officers to China.



*President Jimmy Carter reflects on his long history with China in conversation with NCUSCR President Stephen Orlins at CHINA Town Hall. The 2014 event commemorated the 35<sup>th</sup> anniversary of the establishment of diplomatic relations, a major accomplishment of the Carter administration.*



*Former Ambassador to the U.N. Wang Yingfan and former Secretary of Defense William Perry enjoy some relaxing moments at the 13<sup>th</sup> U.S.-China Strategic Security Dialogue, 2011*

In the 1990s, the National Committee began once again to emphasize its public outreach mission of educating Americans about China and U.S.-China relations. The number of such programs grew dramatically in the early 2010s and today the Committee usually runs three to five public outreach programs per month, along with the annual CHINA Town Hall at 80+ venues across the country. The reach of these programs has been greatly amplified in the last few years by the organization’s growing social media presence and video/podcast distribution.



*Chinese President Li Xiannian, interpreter (and later, ambassador to the United States) Zhou Wenzhong, and NCUSCR Chair Raymond Shafer, at a 1985 luncheon in the president's honor*



*NCUSCR President Stephen Orlins greets Chinese President Xi Jinping at a 2015 welcome dinner in Seattle*



# 50<sup>TH</sup> Anniversary Activities

TO MARK ITS 50<sup>TH</sup> ANNIVERSARY, the National Committee is honoring its rich history while looking toward opportunities and challenges facing the U.S.-China relationship in the future. Celebrations began in January 2016 and will last through December 2017.



Former Secretaries of Defense Harold Brown, William Cohen, and Chuck Hagel share a laugh at the first Leaders Speak panel, January 2016 (not pictured: William Perry and moderator Stephen Orlins)

## LEADERS SPEAK

A variety of people and institutions have shaped the course of Sino-American relations over the past fifty years. *Leaders Speak* is a series of public forums that highlights the different roles these and other decision-makers have played in determining the path of the most important bilateral relationship of the 21<sup>st</sup> century. The series consists of seven panels featuring former U.S. cabinet officers, other senior civilian and military officials, and global business leaders, interviewed by National Committee President Stephen Orlins:

### Defense Secretaries

Harold Brown, William Cohen, Chuck Hagel, and William Perry

### Commerce Secretaries & U.S. Trade Representatives

Barbara Franklin, Carla Hills, and Susan Schwab

### National Security Advisors

Richard Allen, Stephen Hadley, and Robert McFarlane

### Secretaries of State

Madeleine Albright and Henry Kissinger

### Treasury Secretaries\*

Michael Blumenthal, Timothy Geithner, Jacob Lew, and Robert Rubin

### Business Leaders\*

Olivier Brandicourt, Peter Cohen, and Ellen Kullman

### U.S. Pacific Command (PACOM) Commanders\*

Dennis Blair, Timothy Keating, Samuel Locklear III, and Joseph Prueher

\*2017



NCUSCR Chair Carla Hills celebrates the launch of the Now More Than Ever 50<sup>th</sup> Anniversary Campaign, December 2016

## GALA DINNER

More than 500 guests gathered at Cipriani Wall Street in December to celebrate the National Committee's 50<sup>th</sup> anniversary. Bringing in a record \$2.5 million, the Gala provided the perfect backdrop to launch the \$15 million Now More Than Ever 50<sup>th</sup> Anniversary Campaign and to announce that \$10.5 million had already been raised to expand and enhance the Committee's work.

Dr. Henry Kissinger and Mr. Maurice Greenberg, two remarkable individuals who have generously given their time and advice to the Committee for several decades and who serve as board vice chairs, received lifetime achievement awards. Also honored were the leaders of two outstanding companies contributing to the bilateral relationship: Mr. Oscar Munoz, CEO of United Airlines, and Mr. Raymond Conner, vice chairman of The Boeing Company.



Dr. Maria Repnikova discusses Sino-Russian relations as part of the China & the World series, April 2016

## CHINA & THE WORLD

The National Committee's 50<sup>th</sup> anniversary comes at a time of an increased Chinese presence on the global stage. This special series analyzes China's relations with various parts of the world, and their ramifications for Sino-American relations.

- Africa
- Russia
- India
- Southeast Asia
- Europe



Jeanne Barnett, widow of founding member and second chair of the National Committee, Doak Barnett, and Ambassador Julia Chang Bloch catch up at the reunion, June 2016

## REUNION

A 50<sup>th</sup> anniversary reunion in Washington, D.C., reconnected over 150 past program participants and former staff. The outpouring of support and everyone's longstanding dedication to the organization was very gratifying, and a goal for the future is to continue to keep members, program participants, funders, and friends engaged in National Committee events and programs for years to come.

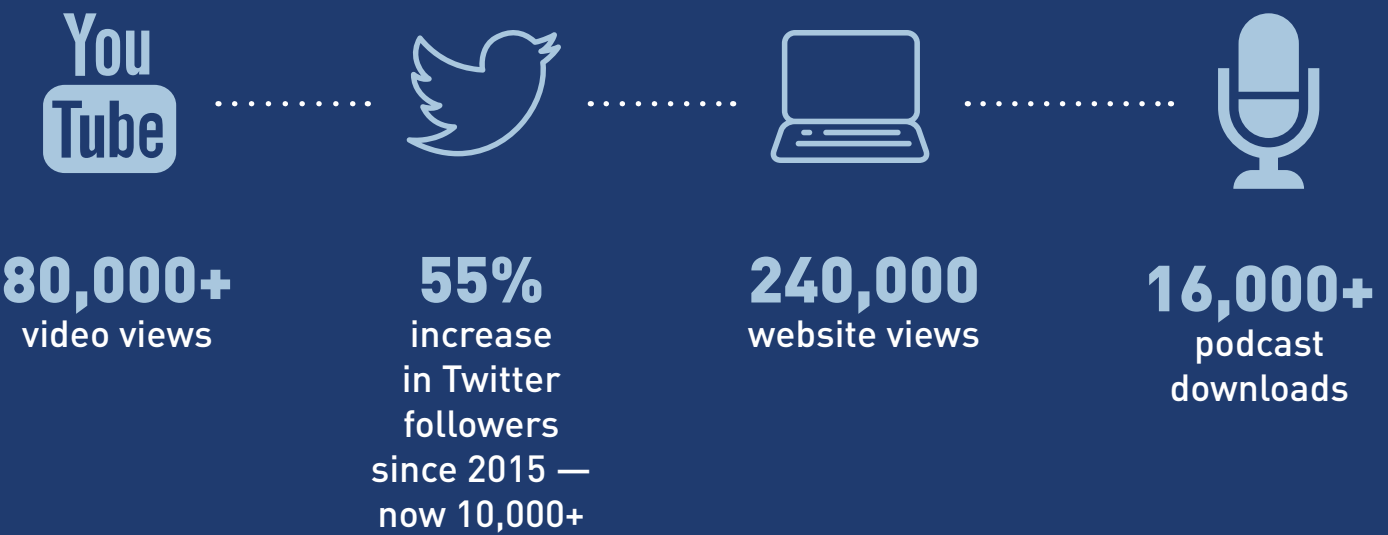
## ARCHIVES

The National Committee has sent the majority of its documents accumulated over the past 50 years for processing and storage at the Rockefeller Archive Center in Sleepy Hollow, NY. Most of the materials are now available for scholars seeking greater insight into the last five decades of U.S.-China relations and the National Committee's role in those events.

# NCUSCR IN 2016

## By the Numbers

**IN 2016**, the National Committee connected with a record number of people through social media, digital media, events, and member and corporate outreach.



# NCUSCR IN 2016

## By Program Area

**FOR FIFTY YEARS**, the National Committee has been a leader in promoting constructive U.S.-China relations. Its ongoing exchanges, dialogues, conferences, and delegations bring together a wide range of policymakers, corporate leaders, academics, entrepreneurs, professionals, military personnel, and students from both sides of the Pacific. Through public outreach and educational events, the Committee stimulates public discussion and facilitates the exchange of ideas and viewpoints. The four categories below were the foundation of the National Committee’s work in 2016, along with its 50<sup>th</sup> anniversary events. Program highlights from each category are spread across the next several pages; for a complete listing of all programs and events that took place in 2016, turn to the program index on page 20.

### POLICYMAKERS

Informing policy leaders on both sides of the Pacific

### DIALOGUES & CONFERENCES

Fostering ongoing dialogue and cooperation around the most sensitive issues facing the bilateral relationship

### NEXT GENERATION

Developing the capacity of future leaders

### PUBLIC OUTREACH & EDUCATION EVENTS

Providing balanced information that contributes to a more thoughtful, nuanced discussion on Sino-American relations and Greater China



Isaac Dadoo, deputy district director for the office of Rep. David Scott (D-GA-13), in the remote Dong village of Tang'an, Guizhou Province, while on a Congressional Staff Delegation, November 2016



# POLICYMAKERS

Informing policy leaders on both sides of the Pacific

## THE EDUCATION OF SENIOR

**POLICYMAKERS**—including military leaders, senators, congressmen, and their staff—about the realities of Sino-American relations is central to the National Committee's mission.

Delegations and programs in this area provide opportunities to interact with key officials, learn firsthand about China's successes and challenges, and develop fruitful working relationships that promote informed decision-making.

## CONGRESSIONAL EDUCATION

In 2016, the National Committee sent three congressional staff delegations to China, composed of senior aides from both the Senate and House, and from D.C., state, and district offices. Delegation members met with Chinese and American government officials, business and NGO leaders, journalists, and scholars, to discuss China's economic development, environmental challenges, renewable energy, trade, and education, along with other key issues in the U.S.-China relationship.

**32** congressional staffers to China in 2016

**24** states represented

**1:1** Democrat to Republican ratio

The Committee has a long history of educating Congress about issues relating to China, having sent the first congressional staff delegation there in 1976.

**257** congressional staff members have participated in NCUSCR-led delegations to China

## MAPPING U.S.-CHINA INVESTMENT FLOWS

Since 2015, the National Committee and Rhodium Group have collaborated on a groundbreaking study of Chinese foreign direct investment (FDI) in the United States, an exciting new trend in the bilateral relationship.

A second initiative, The U.S.-China FDI Project, was launched in 2016, and aims to provide greater transparency on FDI flows between the two countries.

These studies provide accurate data and objective analysis to help policymakers, communities, and businesses better identify opportunities for growth and respond to questions and concerns about a new dimension of U.S.-China economic activity.

## NEW NEIGHBORS: 2016 UPDATE

### CHINESE INVESTMENT IN THE UNITED STATES BY CONGRESSIONAL DISTRICT

China's U.S. investment continues to grow, with deals worth over \$15 billion executed in 2015, setting a new record. By the end of 2015, Chinese companies extended across more than 80 percent of congressional districts (362 of 435) and employed more than 90,000 Americans. Providing cumulative investment values, numbers of operations, and jobs provision by region, state, and congressional district, this annually-updated report discusses investment trends and identifies areas of the U.S. economy with the potential to attract additional Chinese investment.



## THE U.S.-CHINA FDI PROJECT: TWO-WAY STREET 25 YEARS OF U.S.-CHINA DIRECT INVESTMENT

This interactive website and report make use of a new transactions-based proprietary database to unveil a fuller, more accurate picture of two-way direct investment flows between the United States and China, enabling policy and business leaders on both sides of the Pacific to better manage this growing element of the bilateral economic relationship. The authors find the depth of FDI integration to be as much as three times greater than commonly thought, and annual patterns to be evolving rapidly. Chinese annual investment flows in the United States now surpass American investment in China, turning the FDI relationship into a two-way street, driven by a complex mix of players and motives, with a diverse industry and geographic composition.





*Dr. Yao Yang, dean of the National School of Development and director of the China Center for Economic Research at Peking University, with Dr. Nicholas Lardy, senior fellow at the Peterson Institute for International Economics and NCUSCR vice chair; U.S.-China Track II Economic Dialogue, Beijing, June 2016*

# DIALOGUES & CONFERENCES

Fostering ongoing dialogue and cooperation around the most sensitive issues facing the bilateral relationship

**THE NATIONAL COMMITTEE IS A LEADER** in the field of U.S.-China exchanges and dialogues by virtue of its capacity to conduct innovative programs and its ability to respond quickly and flexibly to new and emerging needs and issues. These ongoing programs convene American and Chinese political, business, academic, security, and policy leaders, for closed-door, off-the-record discussions.

Track II dialogue participants engage in lively debate while collaborating to write a consensus agreement, which is shared with both governments. Dialogues are often accompanied by public events and leadership briefings that extend the impact of the discussions and help spread information on outcomes achieved.

“The Track II Economic Dialogue is a very important voice on U.S.-China relations and it is one that the Chinese listen to as well.”

*—Maurice Greenberg, Chairman & CEO, C.V. Starr & Co., Inc.*



*Jerome Cohen, professor of law and founder/co-director of the U.S.-Asia Law Institute at New York University; General Qian Lihua, former director-general of the Chinese National Defense Ministry's Foreign Affairs Office; Michael McDevitt, senior fellow for strategic studies at the Center for Naval Analyses; and Zhang Xinjun, professor at Tsinghua University. U.S.-China Track II Dialogue on Maritime Issues & International Law, New York, June 2016*

*Damien Ma, fellow and associate director at the Paulson Institute, with Sarah Ladistaw, director of the CSIS energy and national security program; U.S.-China Track II Energy Dialogue, New York, April 2016*



## 2016 DIALOGUES & CONFERENCES FOCUSED ON

- Economic Relations
- Energy & Climate Change
- Maritime Affairs & International Law
- Strategic Issues

## NEW/ONGOING DIALOGUES & CONFERENCES FOCUS ON

- Healthcare
- Rule of Law & Human Rights
- Northeast Asian Strategic Security



U.S.-based Chinese diplomats tour the Army Heritage and Education Center at the United States Army War College as part of the Diplomat Orientation Program, April 2016



# NEXT GENERATION

Developing the capacity of future leaders

**THE NATIONAL COMMITTEE'S LEADERSHIP** development initiatives are an investment in the future by educating the next generation of Americans and Chinese about each other and about the other country.

These programs cultivate potential leaders early in their careers and help prepare them for leadership roles in the bilateral relationship.

## PROFESSIONAL FELLOWS PROGRAM

This two-way capacity building exchange, funded by the U.S. State Department Bureau of Educational and Cultural Affairs, focuses on emerging Chinese and Mongolian NGO leaders in the fields of the environment, legal aid, philanthropy, and community building among marginalized populations.



Gao Wei with one of her two hosts in Boston: Christine Griffin, Executive Director, Disability Law Center

## STUDENT LEADERS EXCHANGE



Student Leaders Exchange participants pause to catch their breath after an evening of traditional Qiang dancing in Beichuan, Sichuan Province, July 2016

“The Professional Fellows Program was an invaluable experience. Through the one-month internship, I was able to gain a deeper knowledge of my areas of interest, learn from people, build professional networks, and acquire hands-on experience, all of which I brought back to China and put into practice at my workplace. I was impressed by the active role that civil society plays in the United States and I also further realized how challenging the work can be.”

-Gao Wei, Fall 2016 Professional Fellows Program

## 21 NEW FELLOWS JOIN THE PUBLIC INTELLECTUALS PROGRAM

The National Committee is pleased to announce the selection of 21 new fellows for the fifth round of its Public Intellectuals Program (PIP) and the renewal of a generous grant from Carnegie Corporation of New York that makes the program possible.

PIP nurtures the next generation of American China specialists by encouraging them to think more holistically about China, go beyond their own specialties, and take on more prominent roles informing and engaging with policy makers and the public. The program provides them with the skills, contacts, and opportunities to do so.



The fifth cohort of Public Intellectuals Program fellows

## 2016 PROGRAMS ENGAGED THESE NEXT GENERATION LEADERS

- China Scholars & Specialists
- Emerging NGO Leaders in China, Mongolia, & the United States
- U.S.-based, Mid-career Chinese Diplomats
- U.S. Presidential Scholars & Intel Science Talent Search Finalists
- White House Fellows
- Young Professionals



NCUSCR President Stephen Orlins speaks at the Two-Way Street: 25 Years of U.S.-China Direct Investment report launch event in Beijing, November 2016



## PUBLIC OUTREACH & EDUCATION EVENTS

Providing balanced information that contributes to a more thoughtful, nuanced discussion on Sino-American relations and Greater China

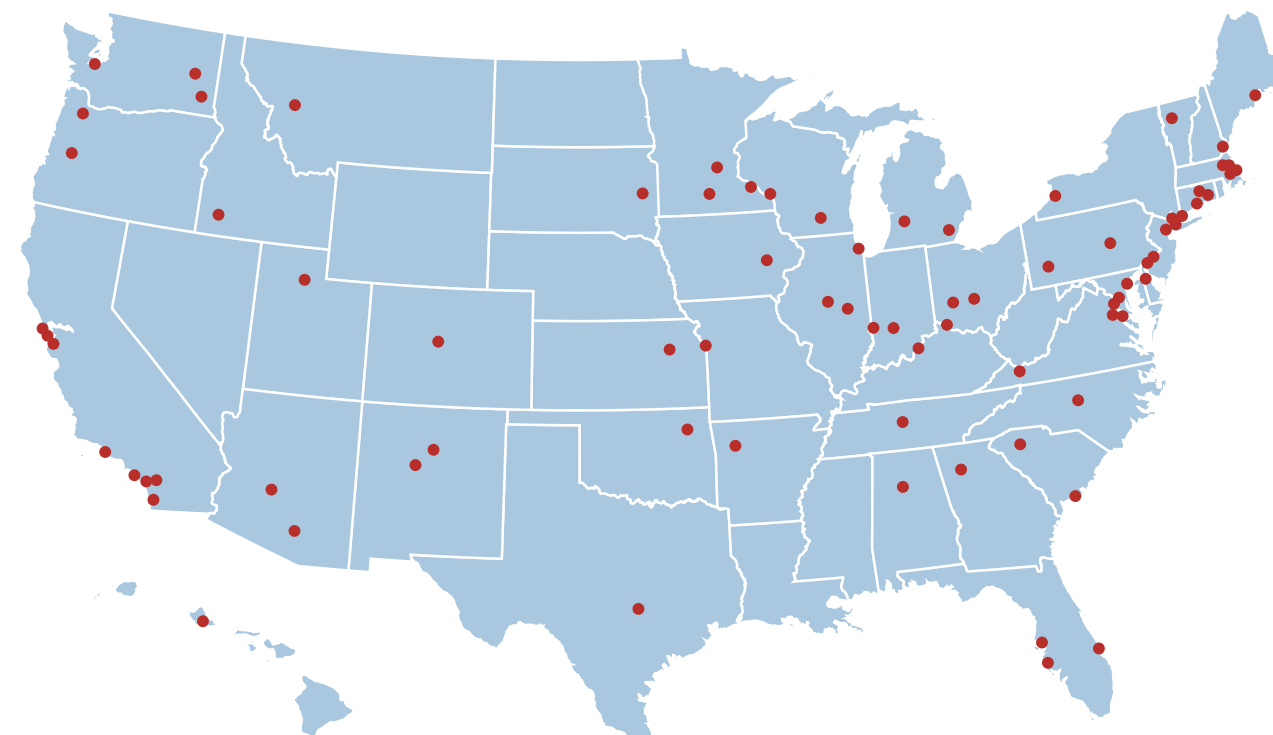
**THROUGH PUBLIC EVENTS**, roundtable discussions, conference calls, publications, videos, and web and social media offerings, the National Committee provides its membership and the public with timely, relevant information on issues affecting the bilateral relationship and Greater China.

National Committee programs feature a wide range of American and Chinese voices and perspectives across the political and functional spectrums.

### Tenth Annual

## CHINA TOWN HALL: LOCAL CONNECTIONS, NATIONAL REFLECTIONS

featuring Dr. Henry Kissinger and 100 local speakers/panelists across the United States and Greater China



**85** venues across the United States & Greater China

**8,000+** online & in-person viewers



NCUSCR President Stephen Orlins interviews Vice Chair Dr. Henry Kissinger during the nationwide webcast, October 2016

China's rapid development and the course of Sino-American relations have a direct impact on the lives of just about everyone in the United States. CHINA Town Hall is a national day of programming designed to provide Americans across the United States, as well as in the PRC, Hong Kong, and Taiwan, the opportunity to hear from China specialists on topics of interest to their local communities.

This year's webcast speaker was Dr. Henry Kissinger, who reflected on the past, present, and future of U.S.-China relations with National Committee President Stephen Orlins. It was the most-watched in the CHINA Town Hall's ten-year history and questioners came from as far away as Scandinavia and Australia.



# 2016 Program Index

All 2016 programs and events took place in New York City unless otherwise specified.

## SPECIAL EVENTS

### Experiencing China: Yangzhou Impressions

PARTNER: Consulate General of the People’s Republic of China in New York  
*March 18, 2016*

### Dinner Welcoming Premier Li Keqiang to New York

PARTNERS: Economic Club of New York, US-China Business Council  
*September 20, 2016*

## 50<sup>TH</sup> ANNIVERSARY ACTIVITIES

### Leaders Speak: Defense Secretaries

Harold Brown (1977 - 1981)  
William Cohen (1997 - 2001)  
Chuck Hagel (2013 - 2015)  
William Perry (1994 - 1997)  
*January 11, 2016; Washington, D.C.*

### China & the World: Africa

Deborah Bräutigam, School of Advanced International Studies, Johns Hopkins University; NCUSCR Director  
*February 25, 2016*

### China & the World: Russia

Maria Repnikova, Annenberg School for Communication, University of Pennsylvania  
*April 18, 2016*

### China & the World: India

Anja Manuel, RiceHadleyGates LLC  
*May 9, 2016*

### Annual Members Program

#### Leaders Speak: Secretaries of Commerce and U.S. Trade Representatives

Barbara Franklin, Secretary of Commerce (1992 - 1993); NCUSCR Director  
Carla Hills, U.S. Trade Representative (1989 - 1993); NCUSCR Chair  
Susan Schwab, U.S. Trade Representative (2006 - 2009)  
*May 24, 2016*

### China & the World: Southeast Asia

Bates Gill, Coral Bell School of Asia and Pacific Affairs, Australia National University  
Evelyn Goh, Coral Bell School of Asia and Pacific Affairs, Australia National University  
Chinhao Huang, Yale - National University of Singapore College  
*June 20, 2016*

### 50<sup>th</sup> Anniversary Reunion and Luncheon for Former Staff

*June 27, 2016; Washington, D.C.*

### Leaders Speak: National Security Advisors

Richard Allen (1981 - 1982)  
Stephen Hadley (2005 - 2009)  
Robert McFarlane (1983 - 1985)  
*June 27, 2016; Washington, D.C.*

### China & the World: Europe

Phillipe Le Corre, The Brookings Institution  
*October 6, 2016*

### Leaders Speak: Secretaries of State

Madeleine Albright (1997 - 2001)  
Henry Kissinger (1973 - 1977); NCUSCR Vice Chair  
*December 5, 2016*

### 50<sup>th</sup> Anniversary Gala Dinner

*December 15, 2016*

## POLICYMAKERS

### New Neighbors: 2016 Update Report

FUNDERS: Chubb, Perfect World, The Starr Foundation  
PARTNER: Rhodium Group  
*April 12, 2016*

### Guangdong Economic and Trade Cooperation Conference in New York

FUNDERS: China General Chamber of Commerce USA, U.S. Chamber of Commerce, US-China Business Council  
PARTNER: Guangdong Department of Commerce  
*May 13, 2016*

### D.C.-Based Congressional Staff Delegation to China

PARTNER: Foreign Affairs Committee of the National People’s Congress  
*May 28 - June 6, 2016; Beijing, Chengde, Zhangjiakou*

### National Development and Reform Commission ‘Smart Cities’ Delegation

PARTNER: National Development and Reform Commission  
*October 25 - 26, 2016; Washington, D.C., New York, NY*

### District-Based Congressional Staff Delegation to China

PARTNER: Chinese People’s Institute of Foreign Affairs  
*November 11 - 21, 2016; Beijing, Guiyang, and Qian Prefecture (Guizhou)*

### Two-Way Street: 25 Years of U.S.-China Direct Investment Report

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### District-Based Congressional Staff Delegation to China

PARTNER: Chinese People’s Institute of Foreign Affairs  
*December 3 - 14, 2016; Beijing, Kunming, Jinghong, Xishuangbanna*

## DIALOGUES & CONFERENCES

### U.S.-China Track II Economic Dialogue

FUNDERS: Xcoal Energy & Resources, Chubb, The Starr Foundation, Van Eck Global  
PARTNER: China Center for Economic Research  
*January 6, 2016; Washington, D.C., New York, NY*  
*June 12 - 16, 2016; Beijing*

### U.S.-China Track II Energy Dialogue

FUNDERS: The Starr Foundation, Cornerstone Acquisition & Management Company LLC  
PARTNER: China Energy Fund Committee  
*April 7 - 8, 2016*

### Peking University Strategic Issues Forum

PARTNER: Institute of International and Strategic Studies  
*May 20 - 22, 2016; Beijing*

### U.S.-China Track II Dialogue on Maritime Issues and International Law

FUNDER: The Starr Foundation  
PARTNER: National Institute for South China Sea Studies  
*June 28 - July 1, 2016*

## NEXT GENERATION

### Young China Professionals Luncheon

Benjamin Liebman, Center for Chinese Legal Studies, Columbia Law School  
*February 17, 2016*

### Public Intellectuals Program Event at the Association for Asian Studies Annual Conference

*April 2, 2016; Seattle, WA*  
FUNDER: Carnegie Corporation of New York

### Young China Professionals Luncheon

Jonathan Landreth, ChinaFile  
*April 6, 2016*

### Professional Fellows Program

FUNDER: U.S. State Department Bureau of Educational and Cultural Affairs  
PARTNERS: China Philanthropy Research Institute, Zorig Foundation  
American fellows’ placement at Chinese and Mongolian host organizations  
*Group 1: April 6 - 20, 2016*  
*Group 2: August 4 - 19, 2016*  
Chinese and Mongolian fellows’ placement at American host organizations  
*Group 1: April 26 - June 4, 2016*  
*Group 2: October 11 - November 18, 2016*

### Diplomat Orientation Program for U.S.-Based Chinese Officials

FUNDERS: Mastercard, The Starr Foundation  
*April 10 - 23, 2016; Williamsburg and Jamestown, VA, Washington, D.C., Harrisburg and Hershey, PA, New York, NY*

### China Briefing for White House Fellows

FUNDER: The Starr Foundation  
*May 11, 2016; Washington, D.C.*

### Public Intellectuals Program IV Trip to China

FUNDER: Carnegie Corporation of New York  
PARTNER: China Foreign Affairs University  
*May 27 - June 10, 2016; Beijing, Shenyang, Dalian, Dandong, Taipei*

### Student Leaders Exchange to China

FUNDER: Yihai Education Group  
PARTNER: China Education Association for International Exchange  
*July 12 - 29, 2016; Beijing, Guiyang, Beichuan, Chengdu*

### Public Intellectuals Program I-IV Refresher

FUNDER: Carnegie Corporation of New York  
*December 1 - 4, 2016, Washington, D.C.*

## PUBLIC OUTREACH & EDUCATION EVENTS

### Forecast of China’s Economy for 2016

*January 7, 2016*

### Teleconference on the Taiwan Election

Douglas Paal, Carnegie Endowment for International Peace; NCUSCR Director  
Shelley Rigger, Davidson College  
*January 19, 2016*

### Does Modern China Need Confucius?

Michael Schuman, Author and Journalist  
*February 2, 2016*

### Donors’ Meeting on China’s International NGO Management Law

Elizabeth Knup, Beijing Office, Ford Foundation  
Katherine Wilhelm, Beijing Office, Ford Foundation  
*February 8, 2016*

### John Birch, China and the Cold War

Terrill Lautz, Syracuse University; NCUSCR Director  
*February 11, 2016*

### One Child: The Story of China’s Most Radical Experiment

Mei Fong, Author and Journalist  
*March 8, 2016*

### Roundtable Discussion with Delegation from the Taiwan Democratic Progressive Party

*March 10, 2016*

### Occupational Hazards: Sex, Business, and HIV in Post-Mao China

Elanah Uretsky, Elliott School of International Affairs, The George Washington University; Public Intellectuals Program Fellow  
*March 15, 2016*

### Teleconference on President Obama and Xi Jinping at the Nuclear Security Summit

Daniel Kritenbrink, National Security Council  
*April 4, 2016*

### China After the Paris Climate Conference

Mark Clifford, Asia Business Council  
*April 5, 2016*



Global Energy Transition & China’s Energy Revolution

Joanna Lewis, Edmund A. Walsh School of Foreign Service, Georgetown University; China Energy Group, Lawrence Berkeley National Laboratory, U.S. Department of Energy; Public Intellectuals Program Fellow Meghan O’Sullivan, Kennedy School of Government, Harvard University Chen Weidong, Energy Economics Institute, China National Offshore Oil Corporation Liu Yadong, CEFC Global Strategic Investment Holdings; Young Leaders Forum participant  
*April 7, 2016*

New Neighbors: 2016 Update Report Launch Events

John Balzano, Covington & Burling LLP David Fagan, Covington & Burling LLP Thilo Hanemann, Rhodium Group Daniel Rosen, Rhodium Group  
*April 12 - 13, 2016; Washington, D.C., New York, NY*

History of China’s Foreign Relations

John Garver, The Sam Nunn School of International Affairs, Georgia Institute of Technology  
*April 14, 2016*

Roundtable Discussion with Ira Belkin and Mark Sidel

Ira Belkin, U.S.-Asia Law Institute, New York University School of Law Mark Sidel, University of Wisconsin Law School  
*May 4, 2016*

Roundtable Discussion with Kenneth Jarrett

Kenneth Jarrett, American Chamber of Commerce in Shanghai  
*May 5, 2016*

Maoism at the Grassroots

Jeremy Brown, Simon Fraser University Matthew Johnson, Grinnell College  
*May 10, 2016*

Street of Eternal Happiness

Rob Schmitz, Marketplace, American Public Media  
*May 17, 2016*

China’s Economy: What Everyone Needs to Know

Arthur Kroeber, Gavekal  
*May 18, 2016*

Donors’ Meeting on China’s International NGO Management Law

Elizabeth Knup, Beijing Office, Ford Foundation  
*May 19, 2016*

The New Legal Landscape for NGOs in China: Opportunities and Challenges

Shawn Shieh, China Labour Bulletin  
*July 11, 2016*

Teleconference on the South China Sea Tribunal Decision

Peter Dutton, China Maritime Studies Institute, U.S. Naval War College  
*July 13, 2016*

The Pivot

Kurt Campbell, The Asia Group LLC; NCUSCR Director  
*July 14, 2016*

An Update on China’s International NGO Management Law

Mark Sidel, University of Wisconsin Law School Suzanne Siskel, The Asia Foundation  
*September 8, 2016*

CHINA Town Hall: Local Connections, National Reflections

Henry Kissinger, Kissinger Associates; NCUSCR Vice Chair  
*October 18, 2016; 85 venues nationwide and in Greater China*

The Changing Business Environment in China

John Frisbie, US-China Business Council  
*October 20, 2016*

Taiwan’s China Dilemma

Shirley Lin, University of Virginia  
*November 3, 2016*

Rethinking Water Challenges in China

Hongqiao Liu, China Water Risk  
*November 7, 2016*

Chinese Leadership and the Tide of History

Kerry Brown, Lau China Institute, King’s College, London  
*November 10, 2016*

Two-Way Street: 25 Years of U.S.-China Direct Investment Report Launch Events

*November 14 - 18, 2016; Washington, D.C., New York, NY, Shanghai, Beijing*

Who Will Represent China’s Workers?

Aaron Halegua, New York University School of Law  
*December 1, 2016*

Hong Kong in the Shadow of China

Richard Bush, Center for East Asia Policy Studies and John L. Thornton China Center, The Brookings Institution  
*December 7, 2016*

Roundtable Discussion with Fan Jiayang and Jeffrey Wasserstrom

Fan Jiayang, *The New Yorker* Jeffrey Wasserstrom, University of California Irvine  
*December 12, 1016*

Screening of “Death by Design”

Darrin Magee, Hobart and William Smith Colleges; Public Intellectuals Program Fellow Hilary Steinman, Lovett Productions Sue Williams, Ambrica Productions  
*December 13, 2016*

Roundtable on China’s International NGO Management Law

Mark Sidel, University of Wisconsin-Madison; International Center for Not-for-Profit Law  
*December 28, 2016*

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# Statement of Financial Position

## Condensed Statement of Financial Position January 1 - December 31, 2016

	December 31, 2016	December 31, 2015
<strong>ASSETS</strong>		
Cash and cash equivalents	\$5,005,391	\$4,293,697
Grants and contributions receivable, net	8,005,970	919,786
Investments	2,850,285	2,401,713
Prepaid expenses	58,791	44,093
Other assets	13,949	3,757
Property and equipment	89,549	124,745
Total assets	<strong>\$16,023,935</strong>	<strong>\$7,787,791</strong>
<strong>LIABILITIES</strong>		
Accounts payable and accrued expenses	\$273,269	\$258,752
Grant payable	92,465	254,465
Deferred revenue	43,200	12,500
Total liabilities	408,934	525,717
<strong>NET ASSETS</strong>		
Unrestricted:		
Undesignated	3,962,284	3,914,015
Board-designated	3,198,229	2,657,018
Total unrestricted	7,160,513	6,571,033
Temporarily restricted	8,454,488	691,041
Total net assets	15,615,001	7,262,074
<strong>TOTAL LIABILITIES AND NET ASSETS</strong>	<strong>\$16,023,935</strong>	<strong>\$7,787,791</strong>

## Condensed Statement of Activities for Year Ended December 31, 2016

	Unrestricted	Temporarily Restricted	December 31, 2016 Total	December 31, 2015 Total
<strong>SUPPORT AND REVENUE</strong>				
U.S. government grants	\$ -	\$580,642	\$580,642	\$728,931
Contributions	301,229	9,816,106	10,117,335	1,040,821
Special events (net)	2,364,300	-	2,364,300	1,964,651
Investment income and other	82,845	-	82,845	80,349
Net assets released from restrictions	2,633,301	(2,633,301)	-	-
Total support and revenue	<strong>5,381,675</strong>	<strong>7,763,447</strong>	<strong>13,145,122</strong>	<strong>3,814,752</strong>
<strong>EXPENSES</strong>				
Program services	2,727,761	-	2,727,761	2,664,178
Management and administration	1,414,268	-	1,414,268	1,314,728
Fundraising	650,166	-	650,166	349,081
Total expenses	<strong>4,792,195</strong>	<strong>-</strong>	<strong>4,792,195</strong>	<strong>4,327,987</strong>
<strong>CHANGE IN NET ASSETS</strong>	<strong>589,480</strong>	<strong>7,763,447</strong>	<strong>8,352,927</strong>	<strong>(513,235)</strong>
Net assets beginning of year	6,571,033	691,041	7,262,074	7,775,309
Net assets end of year	<strong>\$7,160,513</strong>	<strong>\$8,454,488</strong>	<strong>\$15,615,001</strong>	<strong>\$7,262,074</strong>

The above information is extracted from the audited financial statements, which are available upon request

# Governance and Membership

The 91<sup>st</sup> meeting of the board of directors was held in New York City on May 25, 2016. The 50<sup>th</sup> annual members meeting was held on May 24, 2016. Members present, or by proxy, elected the board class of 2019 as follows:

Keith W. Abell	Deborah Bräutigam	Amy Celico
Richard Edelman	Jimmy Hexter	Nelson G. Dong
Samuel J. Locklear III	Sheldon Pang	Elizabeth Knup
Olivier Brandicourt	Milton Brice	Nicholas R. Lardy
Martin S. Feldstein	Thomas H. Kean	Maggie Sans
Evan S. Medeiros	Clark T. Randt, Jr.	

The following directors rotated off the board as of May 24, 2016:

Andrew Bird	Peter M. Cleveland	Daniel H. Rosen
David L. Boren	Robert A. Levinson	Jerry I. Speyer
Mary Brown Bullock	D. Bruce McMahan	

Directors appointed the following as of May 25, 2016:

Rob Speyer

Directors also elected the following officers of the Committee:

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Treasurer:	Evan G. Greenberg	Vice Presidents:
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At-large board members Dennis C. Blair, Charles W. Freeman III, Jon M. Huntsman, Jr., David M. Lampton, Cheng Li, Kenneth Lieberthal, Ken Miller, Douglas H. Paal, and Ernie L. Thrasher joined the officers to comprise the executive committee.

Keith W. Abell was appointed chair of the audit & budget committee; Carla A. Hills, chair of the compensation committee; Nelson G. Dong, chair of the development committee; David M. Lampton, chair of the management committee; I. Peter Wolff, chair of the nominating committee; Mary Brown Bullock, chair of the program committee; and Thomas H. Kean and I. Peter Wolff, co-chairs of the 50<sup>th</sup> anniversary committee.

The 73<sup>rd</sup> meeting of the executive committee of the board of directors was held in Washington, D.C., on November 29, 2016. Directors appointed the following:

Yvonne Pei	Joshua Cooper Ramo	Jerry Yang
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