



NATIONAL  
COMMITTEE ON  
**U.S.  
CHINA**  
RELATIONS



# 2018 Annual Report



# MISSION

## THE NATIONAL COMMITTEE ON UNITED STATES-CHINA RELATIONS

is a nonprofit educational organization that encourages understanding of China and the United States among citizens of both countries. The Committee focuses its exchange, educational, and policy activities on politics and security, governance and civil society, economic cooperation, media, and transnational issues, addressing these with respect to mainland China, Hong Kong, and Taiwan. The Committee's programs draw strength from its members, who number more than 700 Americans from all parts of the country, and nearly 60 corporations and professional firms. They represent many viewpoints, but share the belief that productive U.S.-China relations require ongoing public education, face-to-face contact, and the forthright exchange of ideas.



# LETTER FROM THE CHAIR & PRESIDENT



**FOUR DECADES AGO**, in a world shaped by the Cold War, the United States and China took the courageous step of establishing formal relations. In the decades since, the ensuing policy of engagement has resulted in stability, security, robust economic gains, and improved quality of life on both sides of the Pacific.

We now find that the programs promoted by the National Committee's founders, who helped reframe China policy in the 1960s to favor engagement over estrangement, are being challenged by pessimism and mistrust that inhibit cooperation on both sides. These challenges make the mission and work of the National Committee more vital than ever. There is certainly much to do in light of escalating frictions. However, looking past the domestic and bilateral issues of the day, we are certain that this century's global challenges, including climate change, pandemics, armed conflicts, and nuclear proliferation, cannot be solved without sustained and focused Sino-American cooperation.

The National Committee is an essential catalyst for that cooperation, with programs that engage policymakers, professionals, and next-generation leaders on both sides of the Pacific. The Committee enables members of Congress and their staff to experience China firsthand, conducts dialogues to address today's critical issues, convenes future leaders from both countries to better understand one another, and develops the professional capacity of NGO leaders and academics. In addition, the National Committee educates both policymakers and the general public about the bilateral relationship and Greater China through public programming and online resources. These initiatives create channels for the communication, education, forthright discussion, and collaboration that are indispensable to safeguarding our shared future.

The National Committee is grateful to its members, partners, and contributors, without whom our efforts would not be possible. Your valuable support helps move us toward a future where a Sino-American relationship built on trust, mutual understanding, and cooperation will benefit all nations. We believe that engagement matters — to the United States, to China, and to the world.

**CARLA A. HILLS**  
*Chair*

**STEPHEN A. ORLINS**  
*President*



# NCUSCR PROGRAMS

**NATIONAL COMMITTEE EXCHANGES, DIALOGUES, AND CONFERENCES** bring together and inform policymakers, corporate leaders, academics, military personnel, and students from both sides of the Pacific. Through public outreach and educational events, the National Committee promotes public discussion and the candid exchange of ideas. The four categories at right form the foundation of the National Committee’s work, with highlights from these featured across the following pages. A complete index of 2018 programs and events begins on **page 12**.

## POLICYMAKERS

Informing policy leaders on both sides of the Pacific

## NEXT GENERATION

Developing the capacity of future leaders

## DIALOGUE

Fostering ongoing dialogue and cooperation around the most sensitive issues facing the bilateral relationship

## PUBLIC EDUCATION

Providing balanced information that contributes to a more thoughtful, nuanced discussion on Sino-American relations and Greater China

### 2018 NCUSCR Programs: Global Reach







At the Briefing for Heads of Government Affairs Offices in February, Ambassador J. Stapleton Roy, Director Emeritus, Kissinger Institute on China and the United States (r) shared insights with participants, including Stephen Kehoe, Global Chairman, Reputation at Edelman (l).

# POLICYMAKERS

Informing policy leaders on both sides of the Pacific

**THE EDUCATION OF SENIOR POLICYMAKERS** — including members of Congress and their staff, business leaders and military officers — about the realities of Sino-American relations is central to the National Committee’s mission. Delegations and programs in this

area provide opportunities to interact with key officials, learn firsthand about China’s successes and challenges, and develop fruitful working relationships that promote informed decision-making.

## BRIEFINGS FOR HEADS OF GOVERNMENT AFFAIRS OFFICES

The National Committee launched a new initiative to provide briefings to heads of corporate government affairs offices, with the goal of informing U.S. corporate engagement with China and giving participants a deeper understanding of the country’s political, societal, and economic changes.

Thirteen government affairs leaders, responsible for helping shape their corporations’ China policies, convened in Washington, D.C. in February for the inaugural seminar: two days of discussions with experts on China’s domestic politics, foreign relations, rule of law, society, and other topics.

## CONGRESSIONAL EDUCATION

The National Committee helps educate members of Congress and key staff about the most important issues facing the U.S.-China relationship, through visits, briefings, and informational updates.

### Congressional Staff

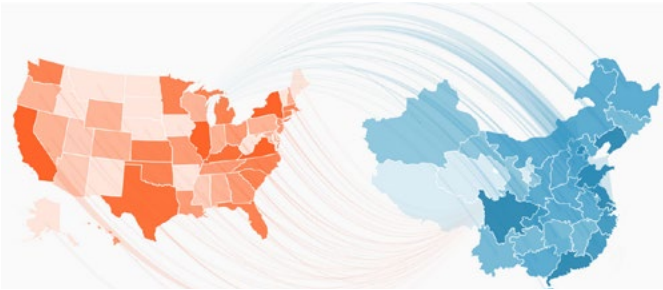
In 2018, NCUSCR sent three bipartisan delegations of congressional staffers to China, comprised of senior aides from the Senate and House in Washington, D.C., and from district offices. As China becomes an increasingly central issue in U.S. foreign policy, the National Committee’s delegations help key Congressional advisors develop more informed views of China.

Each delegation met with PRC and U.S. government officials, American and Chinese business and NGO leaders, journalists, scholars, and students. Highlights included meeting leaders at SenseTime, the world’s highest-valued AI startup; visiting a traditional Miao minority village; viewing a highly automated Volkswagen joint-venture factory; visiting one of the world’s busiest ports, at Ningbo; and discussing the future of AI with visionary investor Kai-Fu Lee at his venture-capital firm Sinovation Ventures

### Congressional Members

In March 2018, the National Committee held a breakfast briefing for five members of Congress, led by NCUSCR President Stephen Orlins. In light of growing frictions and the designation of China as a “strategic competitor” and “revisionist power” in the December 2017 National Defense Strategy, the Congressional members, who had previously visited China on National Committee delegations, reflected on the challenges and benefits of U.S. engagement with China.

## U.S.-CHINA FDI PROJECT



Since 2015, the National Committee, in partnership with Rhodium Group, has conducted the U.S.-China FDI Project to provide transparency on foreign direct investment (FDI) between the United States and China. The initiative provides accurate data and objective analysis to help policymakers, business leaders, and communities identify opportunities for growth and respond to questions about bilateral economic activity.

The project includes two reports: **New Neighbors** details China’s investments in the United States, which dropped by a third in the previous year under Chinese capital controls and U.S. screening of high-technology acquisitions. The **Two-Way Street** report tracks two-way investment between the two countries, which fell by 28 percent in 2017, as policy hurdles and trade frictions darkened future investment prospects in both directions.



Congressional Staff Delegation to China participant Charlane Oliver, from the district office of Representative Jim Cooper (D-TN), learning about student life at Guizhou Normal University Affiliated High School, in Guiyang.





The bilateral dialogue has provided a unique and candid platform for participants to discuss challenges as well opportunities facing both the United States and China to improve health and health care. From strong and efficient regulatory standards, to effective public health initiatives, to ways to support disruptive innovation in health care, the exchanges have identified ways that both countries can work together to improve health.

- Dr. Mark McClellan, Former Commissioner of the FDA and Administrator of the Centers for Medicare & Medicaid Services; Director and Robert J. Margolis Professor of Business, Medicine, and Policy, Margolis Center for Health Policy, Duke University.



# TRACK II DIALOGUES

Fostering ongoing dialogue and cooperation around the most sensitive issues facing the bilateral relationship

**THE NATIONAL COMMITTEE IS A LEADER** in the field of U.S.-China exchanges and dialogues by virtue of its ability to conduct innovative programs and to respond quickly to emerging issues. These ongoing programs convene American and Chinese political, business, academic, security, and policy leaders, for closed-

door, off-the-record discussions. Track II dialogue participants engage in lively debate while collaborating on a consensus agreement — which is shared with both governments. Dialogues are often accompanied by public events and leadership briefings that extend the impact of the discussions and their outcomes.

## TRACK II DIALOGUE ON HEALTHCARE

The Track II healthcare dialogue convened twice in 2018, with American and Chinese experts in both the public and private sectors attending sessions in Beijing and Virginia. Following up on the broad discussions that began at the inaugural dialogue in 2017, the two sides focused on population health, payment and delivery reforms, big data and information technology, encouraging innovation in medical products and services, and areas of possible cooperation.

## TRACK II ECONOMIC DIALOGUE

Gathering at a critical moment in the evolution of U.S.-China economic relations, the seventeenth round of the Track II Economic Dialogue brought together leading American and Chinese economists and business leaders in New York. Discussions focused on the current administration's trade policies and the potential for a trade war, prospects for bilateral direct investment, the direction of China's economy following the 19th Party Congress, the Belt and Road Initiative, and necessary structural adjustments within both economies.



Supreme People's Court President Zhou Qiang (r) met with Track II Dialogue on the Rule of Law & Human Rights participants, including NCUSCR President Stephen Orlins (l).



Founder and CEO of the China Ocean Institute Tabitha Mallory discusses South China Sea cooperation during the January Dialogue on Maritime Issues & International Law in Sanya.

## TRACK II DIALOGUE ON MARITIME ISSUES & INTERNATIONAL LAW

American and Chinese experts on maritime security, marine ecology, and international law gathered in Sanya, Hainan, and Provincetown, Massachusetts, for the two sessions of the biannual Track II maritime dialogue. The group continued its discussions on what might be done to improve the situation in the South China Sea, avoid crises, and lead toward the resolution of disputes and a mutually acceptable outcome. The focal points of the discussion included freedom of navigation operations, possibilities for joint cooperation, marine protection, the development and legal status of new maritime technology, and the role of international law in these issues.

## TRACK II DIALOGUE ON THE RULE OF LAW & HUMAN RIGHTS

Experts from the American and Chinese governments, the legal field, and academia convened in Beijing for the Track II Dialogue on the Rule of Law & Human Rights. The sessions covered important and timely issues, ranging from safeguarding human rights, including China's governance in Xinjiang and U.S. immigration law, to government supervision and accountability, and legal protections for specific groups. In addition to the dialogue, the Americans went to the Supreme People's Court, where they met with President Zhou Qiang, and the Beijing Internet Court, where they observed a "virtual" civil trial.





The Public Intellectuals Program has exposed me to the multifaceted dimensions of China’s complex polity. From engaging with historians to sociologists and anthropologists, to policymakers in China and D.C., my PIP experience has shaped me into a more wholesome, curious, and engaged China scholar – a sentiment I share with my students and colleagues.

– Dr. Maria Repnikova, assistant professor in global communication, Georgia State University, pictured at the World Affairs Council of Atlanta with fellow Public Intellectuals Program participants Sida Liu (l), assistant professor of sociology at University of Toronto, and faculty fellow at the American Bar Foundation; and Bin Xu (center right), assistant professor of sociology at Emory University.

# NEXT GENERATION

Developing the capacity of future leaders

## THE NATIONAL COMMITTEE’S LEADERSHIP

development initiatives invest in the future by educating the next generation of Americans and Chinese about each other and the other country. These programs

cultivate potential leaders early in their careers and help prepare them for leadership roles in the bilateral relationship.



Professional Fellows Program participant Guan Zhen (center) meeting with DC Child and Family Services Agency staff members during her month-long fellowship with the National Children’s Alliance in Washington, D.C.

## PROFESSIONAL FELLOWS PROGRAM

This two-way capacity building exchange focuses on emerging leaders in the fields of environment, legal aid, philanthropy, and community building among marginalized populations, from China, Hong Kong, Taiwan, Mongolia, and the United States, and is funded by the U.S. State Department Bureau of Educational and Cultural Affairs.

In 2018, Ms. Undrakh Chunchuluun, a fall 2016 National Committee Professional Fellows Program alumna from Mongolia, was recognized by the U.S. Department of State Bureau of Educational and Cultural Affairs as an Alumni Impact Award winner for her work on intimate partner violence prevention. Chunchuluun was one of three foreign awardees selected from among thousands of alumni. She credits the National Committee’s placing her at the Children’s Aid Society in New York City for inspiring her to expand her work beyond victims of domestic violence to include those who might become perpetrators.

## PUBLIC INTELLECTUALS PROGRAM

This two-year fellowship nurtures the next generation of American China specialists by expanding their networks, broadening their areas of expertise, and encouraging them to assume prominent roles to inform and engage policymakers and the public. Conferences, capacity-building sessions, China trips, and networking opportunities enhance participants’ skills, contacts, and opportunities for public engagement. Funded by Carnegie Corporation of New York, the program now comprises 100 fellows who have formed a strong network of mutual support and academic collaboration.



Chinese and American Young Leaders Forum fellows making a video during a team-building activity during the Detroit forum in May.

## YOUNG LEADERS FORUM

Each year, the Young Leaders Forum holds a four-day retreat for a select group of exceptional American and Chinese young professionals. Over fifty current and alumni fellows attended the 2018 Forum in Detroit, Michigan, where they deepened their understanding of U.S.-China relations and expanded their trans-Pacific networks against the backdrop of a revitalizing Motor City.





Annual Members Program speakers: Amy Celico, principal, Albright Stonebridge Group; Robert Daly, director, Kissinger Institute on China and the United States at the Wilson Center; and Evan Medeiros, managing director and practice head for Asia at the Eurasia Group (l to r)

# PUBLIC EDUCATION

Providing balanced information that contributes to a more thoughtful, nuanced discussion on Sino-American relations and Greater China

**THROUGH PUBLIC EVENTS**, roundtable discussions, conference calls, publications, videos, and social media offerings, the National Committee provides its membership and the public with timely, relevant information on issues affecting the bilateral relationship

and Greater China. National Committee programs feature a range of American and Chinese perspectives across the political and functional spectrums.

## 2018 ANNUAL MEMBERS PROGRAM:

### The Future of Constructive Engagement

As constructive engagement, the guiding principle of U.S. interaction with China since 1972, is being questioned on both sides of the Pacific, the National Committee focused on the issue at its Annual Members Program. President Stephen Orlins led with remarks on the role of the National Committee in light of mounting frictions. The panel discussion featured Directors Amy Celico, Robert Daly, and Evan Medeiros in an incisive reflection on constructive engagement and the future of Sino-American relations.

## CHINA TOWN HALL:

### Local Connections, National Reflections



The CHINA Town Hall nationwide webcast in October featured Secretary Condoleezza Rice in a conversation with NCUSCR President Stephen Orlins.

More than 10,000 online viewers and attendees at 97 locations across the United States and three in China took part in the twelfth annual CHINA Town Hall, a nationwide program featuring former Secretary of State and National Security Advisor Condoleezza Rice, in a conversation with National Committee President Stephen Orlins, on October 9. Secretary Rice responded to questions from the live audience on a range of topics, from the escalating trade war to artificial intelligence, and shared insights into her experiences with China throughout her career.

## FORECAST OF CHINA'S ECONOMY FOR 2018

More than 200 National Committee members, journalists, and corporate and finance professionals gathered at the New York Stock Exchange in January as leading Chinese and American economists discussed their predictions for 2018 and prospects for Sino-American economic ties. The annual half-day conference is co-organized by the National Committee and Peking University's China Center for Economic Research, and is a rare opportunity for Americans to hear firsthand from some of China's most prominent economic thinkers and their American counterparts.

## REFLECTIONS ON 40 YEARS



NCUSCR Executive Vice Chair Maurice "Hank" Greenberg, Chairman and CEO of C.V. Starr & Co., Inc., and cultural diplomacy and creative industry development expert Cathy Barbash joined a panel discussing four decades of U.S.-China relations.

Following decades of enmity, the United States and China announced the establishment of diplomatic relations as of January 1, 1979. To commemorate the anniversary, the National Committee convened a panel of experts who have been instrumental in building the Sino-American relationship. Representing the diverse fields of business, diplomacy, arts and culture, and academic exchange, National Committee Executive Vice Chair Maurice Greenberg, Ambassador Chas Freeman, Cathy Barbash, and Director David M. Lampton reflected on where the bilateral relationship was 40 years ago, where it is today, and where it may be headed in the future.



# 2018 Program Index

## KEY: FORMATTING

**NAME OF PROGRAM/EVENT**  
Speaker/Panelist, Affiliation  
**Funder(s); Funder(s) and Partner(s)**  
*Partner(s)*  
Date(s); City/Province  
Venue (In-Kind)

## SPECIAL EVENTS

**STATE COUNCILOR WANG YI MEETING DURING THE UNITED NATIONS GENERAL ASSEMBLY**  
*The US-China Business Council*  
September 24, 2018; New York, NY

**NCUSCR GALA DINNER**  
November 15, 2018; New York, NY

## POLICYMAKERS

**CHINA BRIEFING FOR HEADS OF GOVERNMENT AFFAIRS**  
**Chubb**  
February 26 - 27, 2018; Washington, D.C.

**BREAKFAST BRIEFING WITH MEMBERS OF CONGRESS**  
March 16, 2018; Washington, D.C.

**NEW NEIGHBORS: 2018 UPDATE – CHINESE INVESTMENT IN THE UNITED STATES BY CONGRESSIONAL DISTRICT (PUBLICATION)**  
**Chubb, Perfect World Entertainment, Inc., The Starr Foundation**  
*Rhodium Group, LLC*  
April 10, 2018

**TWO-WAY STREET: 2018 UPDATE – U.S.-CHINA DIRECT INVESTMENT TRENDS (PUBLICATION)**  
**American Chamber of Commerce (AmCham) in Shanghai, China General Chamber of Commerce (CGCC) – USA; The Walt Disney Company, East West Bank, The Starr Foundation**  
*Rhodium Group, LLC*  
April 10, 2018

## NCUSCR AFFILIATIONS

- Executive Vice Chair
- ▣ Vice Chair
- \* Director
- \*\* Former Director
- ▲ Public Intellectuals Program Fellow

**CHINA SEMINAR FOR ADMIRAL PHILIP S. DAVIDSON, NEWLY CONFIRMED COMMANDER OF THE U.S. PACIFIC COMMAND**  
*U.S. Pacific Command*  
May 21, 2018; Washington, D.C.

**CONGRESSIONAL STAFF DELEGATION TO CHINA**  
**Funded under the Mutual Educational and Cultural Exchange Act of 1961 (MECEA) and NCUSCR general funds**  
*Foreign Affairs Committee of the National People’s Congress, Embassy of the People’s Republic of China to the United States*  
August 11 - 20, 2018; Beijing, Hangzhou, Qingdao

**DISTRICT-BASED CONGRESSIONAL STAFF DELEGATION TO CHINA**  
**Funded under MECEA and NCUSCR general funds**  
*CPIFA, Embassy of the People’s Republic of China to the United States*  
November 27 - December 7, 2018; Beijing, Ningbo, Shanghai

**DISTRICT-BASED CONGRESSIONAL STAFF DELEGATION TO CHINA**  
**Funded under MECEA and NCUSCR general funds**  
*CPIFA, Embassy of the People’s Republic of China to the United States*  
December 10 - 20, 2018; Beijing, Guangdong

## TRACK II DIALOGUES

**U.S.-CHINA TRACK II ECONOMIC DIALOGUE**  
**Abbott Laboratories, Mastercard Incorporated, Xcoal Energy & Resources,**

**Chubb, VanEck**  
*China Center for Economic Research (CCER), Peking University (PKU)*  
January 8 - 9, 2018; New York, NY

**U.S.-CHINA TRACK II DIALOGUE ON MARITIME ISSUES & INTERNATIONAL LAW**  
**The Starr Foundation**  
*National Institute for South China Sea Studies*  
January 15 - 17, 2018; Sanya, Hainan  
July 25 - 28, 2018; Provincetown, MA

**U.S.-CHINA TRACK II DIALOGUE ON HEALTHCARE**  
**Sanofi U.S., Gilead Sciences, Inc., Amgen, Inc., Pfizer Inc.**  
*National School of Development, PKU*  
January 19 - 21, 2018; Beijing  
November 5 - 7, 2018; Warrenton, VA

**U.S.-CHINA TRACK II DIALOGUE ON THE RULE OF LAW & HUMAN RIGHTS**  
**The Starr Foundation**  
*China Foundation for Human Rights Development*  
December 3 - 6, 2018; Beijing

## NEXT GENERATION

**YOUNG CHINA PROFESSIONALS LUNCH & LEARN WITH KAISER KUO AND JEREMY GOLDKORN**  
Jeremy Goldkorn, SupChina  
Kaiser Kuo, SupChina  
**The Starr Foundation; Self-funded**  
February 27, 2018; New York, NY

**SCHWARZMAN SCHOLARS ALUMNI “CHINA REFRESHER” SEMINAR**  
**Stephen A. Schwarzman**  
March 9-11, 2018; Milton, NY

**YOUNG CHINA PROFESSIONALS FILM SCREENING & PANEL DISCUSSION OF “MAINELAND”**  
Peggy Blumenthal, Institute of International Education (IIE)  
Jessie Cao, Asia Society  
Jonathan Landreth, ChinaFile  
Miao Wang, Director, Producer, and Co-Editor  
**The Starr Foundation; Self-funded**  
*Young China Watchers (New York Chapter)*  
March 18, 2018; New York, NY

**PUBLIC INTELLECTUALS PROGRAM RECEPTION AT THE ASSOCIATION FOR ASIAN STUDIES ANNUAL CONFERENCE**  
**Carnegie Corporation of New York**  
March 24, 2018; Washington, D.C.

**PROFESSIONAL FELLOWS PROGRAM: PHASE 4 (2016 GRANT)**  
**U.S. State Department Bureau of Educational and Cultural Affairs (ECA)**  
*China Global Philanthropy Institute (CGPI); Zorig Foundation*  
American fellows’ placement at Chinese and Mongolian host organizations  
April 4 - 19, 2018; China, Mongolia

**PROFESSIONAL FELLOWS PROGRAM: PHASE 1 (2017 GRANT)**  
**ECA**  
*CGPI, Hong Kong-America Center, Zorig Foundation, Chiang Ching-kuo Foundation*  
Chinese, Hong Kong, Mongolian, and Taiwanese fellows’ placement at American host organizations  
April 23 - June 2, 2018; United States

**YOUNG LEADERS FORUM**  
**Chubb, Xcoal Energy & Resources, Arconic Foundation, The Ford Motor Company, Perfect World Entertainment, Inc., Lucius N. Littauer Foundation, I. Peter Wolff, KIND LLC**  
*CPIFA*  
May 11 - 15, 2018; Detroit, MI

**YOUNG LEADERS FORUM EXTENSION TRIP**  
**Self-funded**  
May 15 - 18, 2018; Detroit, MI

**STRATEGIC ISSUES FORUM**  
Institute of International and Strategic Studies, PKU  
May 18 - 20, 2018; Beijing

**U.S. FOREIGN POLICY COLLOQUIUM**  
**Tishman Speyer, Perfect World Entertainment, Inc., Chubb**  
*Sigur Center for Asian Studies, Elliott School of International Affairs, The George Washington University*  
May 29 - June 1, 2018; Washington, D.C.

**PUBLIC INTELLECTUALS PROGRAM V CHINA TRIP**  
**Carnegie Corporation of New York**  
China Foreign Affairs University, Taiwan  
Ministry of Foreign Affairs, Taiwan Economic and Cultural Office in New York  
June 8 - 20, 2018; Beijing, Shenyang, Dalian, Dandong, Taipei

**SCHWARZMAN SCHOLARS ALUMNI “DEEP DIVE” SEMINAR**  
**Stephen A. Schwarzman**  
June 16 - 18, 2018; Washington, D.C.

**STUDENT LEADERS EXCHANGE PRE-DEPARTURE ORIENTATION**  
**Yihai Group North America**  
July 7 - 10, 2018; Menlo Park, CA

**STUDENT LEADERS EXCHANGE**  
**Yihai Group North America**  
*China Education Association for International Exchange*  
July 10 - 25, 2018; Beijing, Guizhou, Sichuan

**PUBLIC INTELLECTUALS PROGRAM V MEETING**  
**Carnegie Corporation of New York**  
July 26 - 29, 2018; San Francisco, CA

**PROFESSIONAL FELLOWS PROGRAM: PHASE 2 (2017 GRANT)**  
**ECA**  
*CGPI*  
American fellows’ placement at Chinese host organizations  
August 15 - 28, 2018; China  
September 15 - 28, 2018; China

**PROFESSIONAL FELLOWS PROGRAM: PHASE 3 (2017 GRANT)**  
**ECA**  
*CGPI, Hong Kong-America Center, Chiang Ching-kuo Foundation*  
Chinese, Hong Kong, and Taiwanese fellows’ placement at American host organizations  
October 10 - November 17, 2018; United States

**YOUNG CHINA PROFESSIONALS LUNCH & LEARN WITH ADAM SEGAL & OTILLIA STEADMAN – CHINA REMAKES THE WEB**  
Adam Segal, Council on Foreign Relations  
Otilia Steadman, BuzzFeed News  
**The Starr Foundation; Self-funded**  
Young Professionals in Foreign Policy  
October 30, 2018; New York, NY

**PUBLIC INTELLECTUALS PROGRAM I-V REFRESHER – THE CHANGING CONTEXT IN CHINA**  
**Carnegie Corporation of New York**  
December 6 - 9, 2018; Washington, D.C.

**PUBLIC EDUCATION**  
**Public programming generously funded by The Starr Foundation unless otherwise specified**

**FORECAST OF CHINA’S ECONOMY FOR 2018**  
Michelle Caruso-Cabrera, CNBC  
Huang Haizhou, CICC  
Huang Yiping, PKU  
Nicholas R. Lardy ▣, Peterson Institute for International Economics  
Liang Hong, CICC  
Justin Yifu Lin, PKU  
Lu Feng, PKU  
Stephen A. Orlins, NCUSCR  
Qin Xiao, HK Financial Services Development Council  
Daniel H. Rosen\*, Rhodium Group  
Xiang Songzuo, Renmin University of China  
Xu Gao, China Everbright Securities  
**Abbott Laboratories, Mastercard Incorporated, Xcoal Energy & Resources, Chubb; VanEck**  
*CCER, PKU*  
January 9, 2018; New York, NY  
New York Stock Exchange

**SHANGHAI FAITHFUL: BETRAYAL AND FORGIVENESS IN A CHINESE CHRISTIAN FAMILY**  
Jennifer Lin, Journalist & Author  
January 24, 2018; New York, NY

**WORKSHOP ON CHINA’S FOREIGN NGO LAW: LESSONS AND PRACTICAL APPLICATIONS**  
*ChinaFile*  
January 29, 2018; New York, NY  
Asia Society



CHINESE CIVIL SOCIETY IN 2018 – WHAT’S AHEAD?

Wang Yongmei, Beijing Huayi Law Firm  
Anthony J. Saich\*, Harvard Kennedy School  
*ChinaFile*  
January 29, 2018; New York, NY  
Asia Society

TELECONFERENCE ON THE STATE OF OUR UNION: A D.C.-PERSPECTIVE ON U.S.-CHINA RELATIONS

Amy P. Celico\*, Albright Stonebridge Group (ASG)  
Douglas H. Paal\*, Carnegie Endowment for International Peace  
January 31, 2018; New York, NY

THE POLITICS OF COMPASSION: THE SICHUAN EARTHQUAKE AND CIVIC ENGAGEMENT IN CHINA

Xu Bin^, Emory University  
January 31, 2018; New York, NY

ROUNDTABLE LUNCHEON WITH ANSON CHAN ON THE POLITICAL SITUATION IN HONG KONG

Anson Chan, Former Chief Secretary for Administration of Hong Kong  
February 2, 2018; New York, NY

WILL CHINA’S ECONOMY COLLAPSE?

Ann Lee, New York University (NYU)  
February 7, 2018; New York, NY

CHINA’S EMERGING FOREIGN POLICY: CENTRAL ASIA, SOUTHEAST ASIA, AND LATIN AMERICA

David Denoon, NYU  
February 20, 2018; New York, NY  
Sidley Austin LLP

CORPORATE MEMBER TELECONFERENCE ON THE FUTURE OF BILATERAL TRADE

William Zarit, The Cohen Group  
February 23, 2018; New York, NY

LEFTOVER IN CHINA: THE SINGLE WOMEN SHAPING CHINA’S ECONOMIC FUTURE

Roseann Lake, *The Economist*  
March 5, 2018; New York, NY

TELECONFERENCE ON TERM LIMITS, TARIFFS, AND REFLECTIONS ON U.S.-CHINA RELATIONS

Jeffrey A. Bader\*, The Brookings Institution  
March 6, 2018; New York, NY

THE END OF CHINA’S REFORM ERA

Carl Minzner^, Fordham Law School  
March 14, 2018; New York, NY  
Dorsey & Whitney LLP

STAFF LUNCH & LEARN WITH JOSEPH TUCKER ON SOCIAL ROOTS AND SOLUTIONS TO HEALTH ISSUES IN CHINA

Joseph Tucker^, UNC-Chapel Hill  
March 15, 2018; New York, NY

A POLITICAL PROFILE OF TAIWAN’S YOUTH

Szu-chien Hsu, Taiwan Foundation for Democracy  
March 26, 2018; New York, NY

HAS XI JINPING CHANGED THE COURSE OF CHINESE HISTORY IN THE 21<sup>ST</sup> CENTURY?

Maura Elizabeth Cunningham, Writer & Historian  
Jeffrey Wasserstrom\*\*, UC Irvine  
March 27, 2018; New York, NY

A CONVERSATION WITH WANG JISI

Wang Jisi, PKU  
April 2, 2018; New York, NY

MOBILIZING WITHOUT THE MASSES

Diana Fu, University of Toronto  
Sida Liu^, University of Toronto  
April 9, 2018; New York, NY

U.S.-CHINA FDI UPDATE | REPORT LAUNCH EVENTS

Amy P. Celico\*, ASG (D.C.)  
Eric Chewning, U.S. Department of Defense (D.C.)  
Nelson G. Dong\*, Dorsey & Whitney (NY)  
David Fagan, Covington & Burling (D.C.)  
Steven R. Foland, CICC (D.C.)  
Thilo Hanemann, Rhodium Group (D.C., Shanghai, Beijing)  
Constance L. Hunter, KPMG (NY)  
Kenneth Jarrett, AmCham Shanghai (D.C., Beijing)  
Alan P. Larson, Covington & Burling (D.C.)  
Stephen A. Orlins, NCUSCR (All)  
Daniel H. Rosen\*, Rhodium Group (All)  
Lester Ross, WilmerHale (Beijing)  
Stephen M. Shafer, 3M Greater China Area (Shanghai)  
**Chubb, Perfect World Entertainment, Inc., AmCham Shanghai, CGCC – USA, The Walt Disney Company, East West Bank, The Starr Foundation**  
*Rhodium Group, LLC*

April 10 – April 30, 2018; Washington, D.C., Shanghai, Beijing, New York, NY  
Covington & Burling LLP (D.C.), Yale Center  
Beijing (Beijing), Dorsey & Whitney LLP (NY)

STAFF LUNCH & LEARN WITH LIU YAWEI

Liu Yawei, The Carter Center  
April 13, 2018; New York, NY

STAFF LUNCH & LEARN WITH THOMAS B. GOLD

Thomas B. Gold, UC Berkley  
April 20, 2018; New York, NY

RUNNING THE *SOUTH CHINA MORNING POST (SCMP)*

Gary Liu, *SCMP*  
April 26, 2018; New York, NY

AIIB: A GUIDE

Natalie Lichtenstein, Lawyer  
May 2, 2018; New York, NY

ROUNDTABLE LUNCHEON WITH HONG KONG CIVIC PARTY LEADERSHIP

Alan Leong Kah-kit, Hong Kong Civic Party  
Alvin Yeung Ngok-kiu, Hong Kong Civic Party  
May 7, 2018; New York, NY

CAPITAL AND COLLECTIVE SHARING

Lu Dezhi, Rutgers University  
May 7, 2018; New York, NY

WORKSHOP ON CHINA’S FOREIGN NGO LAW – THE FOREIGN NGO LAW: ONE YEAR IN, WHAT HAVE WE LEARNED?

*ChinaFile, Asia Society*  
May 7, 2018; San Francisco, CA  
The Asia Foundation

CURATING REVOLUTION: POLITICS ON DISPLAY IN MAO’S CHINA

Denise Y. Ho^, Yale University  
May 8, 2018; New York, NY

THE THIRD DEGREE

Scott D. Seligman, Writer, Historian, and Genealogist  
*Museum of Chinese in America*  
May 17, 2018; New York, NY  
Museum of Chinese in America

ANNUAL MEMBERS PROGRAM – THE FUTURE OF CONSTRUCTIVE ENGAGEMENT

Amy P. Celico\*, ASG

Evan Medeiros\* ^, Eurasia Group  
Robert Daly\*, The Wilson Center  
May 22, 2018, New York, NY  
Edelman

CHINA’S STUDENTS IN THE UNITED STATES AND CHALLENGES RETURNING HOME

Peggy Blumenthal, IIE  
David Zweig, Hong Kong University of Science and Technology  
June 4, 2018; New York, NY

THE CHINA MISSION

Daniel Kurtz-Phelan, *Foreign Affairs*  
June 21, 2018; New York, NY

RECENT DEVELOPMENTS IN HONG KONG’S GOVERNANCE AND ECONOMY

Kurt Tong, U.S. Consul General to Hong Kong and Macau  
June 26, 2018; New York, NY

REFLECTIONS ON CHINESE PHILANTHROPY

Oma Lee, Consultant  
Shen Danxi, Sany Foundation  
June 28, 2018; New York, NY

IMPERIAL TWILIGHT

Stephen Platt^, University of Massachusetts - Amherst  
July 12, 2018; New York, NY

ROUNDTABLE DISCUSSION WITH TAIWAN FOUNDATION FOR DEMOCRACY DELEGATION

July 16, 2018; New York, NY

BUSINESS LUNCHEON WITH HONG KONG SECRETARY FOR JUSTICE

Teresa Cheng, Hong Kong Secretary for Justice  
*Hong Kong Economic and Trade Office in New York, American Foreign Law Association, New York City Bar Association, Hong Kong Association of New York*  
July 16, 2018; New York, NY

ROUNDTABLE DISCUSSION WITH MAINLAND AFFAIRS COUNCIL DELEGATION

July 20, 2018; New York, NY

ROUNDTABLE DISCUSSION WITH CHINESE ACADEMY OF SOCIAL SCIENCES DELEGATION

August 8, 2018; New York, NY

CORPORATE MEMBER LUNCHEON WITH AMCHAM SHANGHAI PRESIDENT

Kenneth Jarrett, AmCham Shanghai  
August 13, 2018; New York, NY

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August 22, 2018; New York, NY

ROUNDTABLE DISCUSSION WITH SHANGHAI MEDIA TRAINING DELEGATION

September 20, 2018; New York, NY

THE CLASH OF CAPITALISMS

Ji Li, Rutgers University  
September 20, 2018; New York, NY  
Dorsey & Whitney LLP

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Lu Mai, China Development Research Foundation  
September 25, 2018; New York, NY

ROUNDTABLE DISCUSSION WITH CHINA INSTITUTES OF CONTEMPORARY INTERNATIONAL RELATIONS DELEGATION

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CORPORATE MEMBER PROGRAM WITH AMCHAM SHANGHAI DELEGATION

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Anita Wei, BD Greater China  
Dan Whitaker, Consilio  
Eric Zheng, AmCham Shanghai  
September 28, 2018; New York, NY

CORPORATE MEMBER BRIEFING WITH KAI-FU LEE

Kai-Fu Lee, Sinovation Ventures  
October 2, 2018; New York, NY

AI SUPERPOWERS

Kai-Fu Lee, Sinovation Ventures  
October 2, 2018; New York, NY  
Akin Gump Strauss Hauer & Feld LLP

CHINA TOWN HALL: LOCAL CONNECTIONS, NATIONAL REFLECTIONS

Condoleezza Rice, Former Secretary of State & National Security Advisor

The Starr Foundation

October 9, 2018; 102 venues nationwide and in China

ROUNDTABLE DISCUSSION WITH CHINESE MINISTRY OF JUSTICE DELEGATION

October 17, 2018; New York, NY

ECONOMIC POLICY MAKING IN CHINA (1949-2016)

Pieter Bottelier, Johns Hopkins University School of Advanced International Studies (SAIS)  
October 18, 2018; New York, NY

REPRESSION IN THE CHINA FIELD

Rory Truex^, Princeton University  
Benjamin L. Liebman^, Columbia Law School  
October 22, 2018; New York, NY

CHINA, XINJIANG, AND THE TERRORISM QUESTION

Andrew Small, German Marshall Fund  
October 25, 2018; New York, NY

BLAMING CHINA

Benjamin Shobert, The National Bureau of Asian Research  
November 1, 2018; New York, NY

CONTESTING CYBERSPACE IN CHINA

Rongbin Han, University of Georgia  
November 26, 2018; New York, NY

WILL CHINA SAVE THE PLANET?

Barbara Finamore, Natural Resources Defense Council  
November 28, 2018; New York, NY

TELECONFERENCE: REFLECTIONS ON THE 2018 TAIWAN MIDTERM ELECTIONS

Jacques deLisle, University of Pennsylvania  
Margaret K. Lewis^, Seton Hall University  
November 30, 2018

REFLECTIONS ON 40 YEARS: COMMEMORATING THE 40<sup>TH</sup> ANNIVERSARY OF SINO-U.S. DIPLOMATIC RELATIONS

Cathy Barbash, Barbash Arts Consulting  
Chas W. Freeman, Jr., Brown University  
Maurice R. Greenberg\*, C.V. Starr & Co.  
David M. Lampton\*, Johns Hopkins SAIS  
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Statement of Financial Position

Condensed Statement of Financial Position January 1 - December 31, 2018

	December 31, 2018	December 31, 2017
<strong>ASSETS</strong>		
Cash and cash equivalents	\$1,539,182	\$4,463,071
Grants and contributions receivable (net)	4,247,722	7,826,997
Investments	9,472,012	5,166,323
Prepaid expenses and other assets	115,597	172,171
Property and equipment (net)	75,371	56,573
<strong>Total assets</strong>	<strong>\$15,449,884</strong>	<strong>\$17,685,135</strong>
<strong>LIABILITIES</strong>		
Accounts payable and accrued expenses	\$290,791	\$332,830
Deferred revenue	12,000	41,030
Grant payable, net	72,465	82,465
<strong>Total liabilities</strong>	<strong>\$375,256</strong>	<strong>\$456,325</strong>
<strong>NET ASSETS</strong>		
Without donor restrictions	\$9,197,880	\$8,094,713
With donor restrictions		
Purpose and time restrictions	5,876,748	9,134,097
<strong>Total net assets</strong>	<strong>\$15,074,628</strong>	<strong>\$17,228,810</strong>
<strong>TOTAL LIABILITIES AND NET ASSETS</strong>	<strong>\$15,449,884</strong>	<strong>\$17,685,135</strong>

Condensed Statement of Activities for Year Ended December 31, 2018

	Without Donor Restriction	With Donor Restriction	December 31, 2018 Total	December 31, 2017 Total
<strong>SUPPORT AND REVENUE</strong>				
U.S. government grants	\$ –	\$452,987	\$452,987	\$365,500
Contributions	213,273	323,480	536,753	4,462,676
Special events (net)	1,422,301	–	1,422,301	1,575,931
Investment income and other	165,397	–	165,397	83,412
Net assets released from restrictions	4,033,816	(4,033,816)	–	–
<strong>Total support and revenue</strong>	<strong>\$5,834,787</strong>	<strong>(\$3,257,349)</strong>	<strong>\$2,577,438</strong>	<strong>\$6,487,519</strong>
<strong>EXPENSES</strong>				
Program services	\$2,838,725	–	\$2,838,725	\$2,889,144
Management and administration	1,498,696	–	1,498,696	1,488,634
Fundraising	394,199	–	394,199	495,932
<strong>Total expenses</strong>	<strong>\$4,731,620</strong>	<strong>–</strong>	<strong>\$4,731,620</strong>	<strong>\$4,873,710</strong>
<strong>CHANGE IN NET ASSETS</strong>	<strong>\$1,103,167</strong>	<strong>(\$3,257,349)</strong>	<strong>(\$2,154,182)</strong>	<strong>\$1,613,809</strong>
Net assets beginning of year	8,094,713	9,134,097	17,228,810	15,615,001
<strong>Net assets end of year</strong>	<strong>\$9,197,880</strong>	<strong>\$5,876,748</strong>	<strong>\$15,074,628</strong>	<strong>\$17,228,810</strong>

The above information is extracted from the audited financial statements, which are available upon request



# Governance and Membership

The 52<sup>nd</sup> Annual Meeting of the Members was held on May 22, 2018. Members present, or by proxy, elected the board class of 2021 as follows:

Ajay Banga	Douglas H. Paal	Katherine P. Kaup
M. Taylor Fravel	Daniel Cruise	David M. Lampton
William J. Mills	Paul T. Haenle	Kenneth G. Lieberthal
Dennis C. Blair	A. Robert Pietrzak	Ken Miller
Maurice R. Greenberg	Robert L. Daly	James R. Sasser
Howard P. Milstein	Elizabeth C. Economy	Jan F. van Eck
Dan Bryant	William E. Ford	Robert H. Xiao
Evan G. Greenberg	Barbara H. Franklin	

The term of service for the following directors ended as of May 22, 2018:

Jeffrey Bader	Charles W. Freeman III	Joseph W. Prueher
John S. Chen	Cheng Li	

The 93<sup>rd</sup> Annual Meeting of the Board of Directors was held in New York City on May 23, 2018. Directors elected the following officers of the Committee:

Chair:	Executive Vice Chairs:	President:
Carla A. Hills	Maurice R. Greenberg	Stephen A. Orlins
Treasurer:	Henry A. Kissinger	Vice Presidents:
Keith W. Abell		Jan Carol Berris
Secretary:	Vice Chairs:	Meredithe Mastrella
I. Peter Wolff	Evan G. Greenberg	
	Thomas H. Kean	
	Nicholas R. Lardy	
	William R. Rhodes	
	J. Stapleton Roy	
	James R. Sasser	

At-large board members Dennis C. Blair, Nelson G. Dong, David M. Lampton, Kenneth G. Lieberthal, Ken Miller, Douglas H. Paal, A. Robert Pietrzak, and Ernie L. Thrasher joined officers to comprise the executive committee.

Keith W. Abell was appointed chair of the audit & budget committee; Carla A. Hills, chair of the compensation committee; Nelson G. Dong, interim chair of the development committee; David M. Lampton, chair of the management committee; and I. Peter Wolff, chair of the nominating committee and external communications committee.

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## VICE PRESIDENTS

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Meredithe Mastrella

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Margot E. Landman

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## DIRECTORS

Communications  
Joseph J. Weed

External Relations  
Wei Xia

Technology  
Daya Martin

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Haini Guo  
Emily Tang-Lee

## PROGRAM OFFICER

Rosie Levine

## DEVELOPMENT MANAGER

Shuwo Nicky Zhou

## DIGITAL CONTENT MANAGER

Nicholas T. Young

## OFFICE MANAGER

Andrea M. Wong

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Kate McGinnis

## PROGRAM ASSOCIATE

Erica Quach

## PROGRAM ASSISTANTS

Virgil Bisio  
Molly Bodurtha  
Alexander Guido  
Hope Marshall

## COMMUNICATIONS AND PRODUCTION ASSISTANT

Amanda Walencewicz

## ASSISTANT CONTROLLER

Louis Jacobs

## INTERNS

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Leo Lechen Dong  
Goh Kyi Yeung  
Gu Yu  
Molly Henry  
Hong Yifei  
Huang Guanxiong  
Li Sen  
Brandon Liu  
Liu Rongyuan  
Vincent Zihao Pan  
Rebecca Victor  
Tang Xiaoyu  
Wang Mei  
Wang Yunchu  
Lucy Lu Yan  
Yang Wentao  
Yin Lu  
Angela Yu  
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Hayley Haiyin Zhu  
Zhu Xingyi  
Zoe Zehui Zhu  
Fletcher Liu Jiyi Zuo



# Board of Directors

AS OF JANUARY 1, 2018

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*U.S. Trade Representative, 1989-1993*  
*U.S. Secretary of Housing & Urban Development, 1975-1977*

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**Maurice R. Greenberg**  
*Chairman & CEO, C.V. Starr & Co.*



**Henry A. Kissinger**  
*Chairman, Kissinger Associates*  
*U.S. Secretary of State, 1973-1977*  
*U.S. National Security Advisor, 1969-1975*

## VICE CHAIRS



**Evan G. Greenberg**  
*Chairman & CEO, Chubb*



**Thomas H. Kean**  
*Chairman & CEO, THK Consulting;*  
*Governor of New Jersey, 1982-1990*



**Nicholas R. Lardy**  
*Anthony M. Solomon Senior Fellow, Peterson Institute for International Economics*



**Joseph W. Prueher**  
*Admiral, U.S. Navy (Retired) U.S. Ambassador, People's Republic of China, 1999-2001; Commander in Chief, U.S. Pacific Command, 1996-1999*



**William R. Rhodes**  
*President & CEO, William R. Rhodes Global Advisors*



**J. Stapleton Roy**  
*Distinguished Scholar & Founding Director Emeritus, Kissinger Institute on China and the United States; U.S. Ambassador, People's Republic of China, 1991-1995*



**James R. Sasser**  
*U.S. Ambassador, People's Republic of China, 1996-1999; Senator (D-TN), 1977-1995*

## TREASURER



**Keith W. Abell**  
*Co-founder, Sungate Asset Management*

## SECRETARY



**I. Peter Wolff**  
*Former SVP, International & Executive Advisor, Time Warner*



**Jonathan Auerbach**  
*EVP & Chief Strategy, Growth and Data Officer, PayPal*



**Jeffrey A. Bader**  
*John C. Whitehead Senior Fellow in International Diplomacy, John L. Thornton China Center, The Brookings Institution*



**Ajay Banga**  
*President & CEO, Mastercard*



**Dennis C. Blair**  
*Director of National Intelligence, 2009-2010; Commander, U.S. Pacific Command, 1999-2002; Admiral, U.S. Navy (Retired)*



**Olivier Brandicourt**  
*CEO, Sanofi*



**Deborah Bräutigam**  
*Director, International Development Program, Johns Hopkins University School of Advanced International Studies*



**Milton Brice**



**Dan Bryant**  
*SVP, Global Public Policy and Government Affairs, Walmart*



**Kurt M. Campbell**  
*Chairman & CEO, The Asia Group; Assistant Secretary of State for East Asian and Pacific Affairs, 2009-2013*



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*Principal, Albright Stonebridge Group; Senior Director for China, Office of the U.S. Trade Representative, 2006-2007*



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**Robert L. Daly**  
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**William E. Ford**  
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**Barbara H. Franklin**  
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**M. Taylor Fravel**

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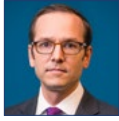
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